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**UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	KOMMA	Examiner	Unknown
Serial No.:	10/789,309	Group Art:	2655
Filed:	February 26, 2004	Docket:	10873.1406US01
Confirmation No.:	9210		
Title:	OPTICAL HEAD DEVICE AND OPTICAL INFORMATION DEVICE USING THE SAME, COMPUTER, OPTICAL DISK PLAYER, CAR NAVIGATION SYSTEM, OPTICAL DISK RECORDER, AND OPTICAL DISK SERVER		

**CERTIFICATE UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 8, 2007.

By: 

Name: Antonette Peters

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**53148**

PATENT TRADEMARK OFFICE

Commissioner:

The following papers are transmitted herewith:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement, Form 1449, 2 references, copy of Japanese Office Action
- ☒ Return Postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.  
P.O. Box 2902 Minneapolis, MN 55402-0902  
612.455-3800

By: 

Name: Douglas P. Mueller  
Reg. No. 30,300  
Initials: DPM/acp



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KOMMA Examiner: Unknown  
Serial No.: 10/789,309 Group Art Unit: 2655  
Filed: February 26, 2004 Docket: 10873.1406US01  
Title: OPTICAL HEAD DEVICE AND OPTICAL INFORMATION DEVICE  
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By

Name: Antonette Peters

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Copies of any foreign patent documents or "Other Documents" are enclosed. A copy of the Japanese Office Action from the corresponding application, mailed February 22, 2007, is enclosed herewith.

Please note that the Japanese publication JP2001-209966 was previously cited and that the corresponding English publication was previously cited for Japanese publication JP2000-81566, therefore these references are not cited herein.

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the Filing Date, before the mailing date of a First Office Action on the merits, or before the mailing date of a First Office Action on the merits after the filing of a request for continued examination under 37 C.F.R. §1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and
- ☐ the requisite Statement is below, OR
- ☐ the requisite fee of \$180.00 under Rule 1.17(p) is included herein, or

- ☐ (3) after the mailing date of a Final Rejection or Notice of Allowance but on or before the payment of the Issue Fee, AND the requisite Statement is below AND the requisite fee of \$180.00 under Rule 1.17(p) is included herein.

### STATEMENT

Applicants hereby state that:

- ☐ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application or by the USPTO in a related application not more than three months prior to the filing date of the Information Disclosure Statement

- ☐ If this box is checked, Applicant provides the following:

**Certification Under 37 C.F.R. §1.704(d)**

In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

- ☐ The Examiner is hereby advised of the following co-pending U.S. applications. A copy of each U.S. patent application publication (if published) or application (if not published) is enclosed.

Application No.

Filing Date

Group

- ☒ A concise explanation of the relevance of the corresponding English language publications of some non-English language documents or other information is as follows (37 C.F.R. §1.98(a)(3)):

JP 2000-260056 corresponds to US 6,760,295

JP 2001-60336 corresponds to US 6,834,036

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached

Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

**FEE AUTHORIZATION**

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 50-3478. Any overpayments should be credited to said Deposit Account.



Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &  
LARSON, P.C.

Post Office Box 2902  
Minneapolis, MN 55402-0902  
(612) 455-3800

Dated: March 8, 2007

By: 

Douglas P. Mueller  
Reg. No. 30,300

DPM:acp

<b>FORM 1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 10873.1406US01	Application Number: 10/789,309
	Applicant: KOMMA	Filing Date: February 26, 2004

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/P.G./	US 6,760,295	07/2004	Maruyama			
/P.G./	US 6,834,036	12/2004	Shiono et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
/P.G./	JP 2000-260056	09/2000	Japan			Abstract / See IDS	
/P.G./	JP 2001-60336	03/2001	Japan			Abstract / See IDS	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

/P.G./	Japanese Office Action for the co-pending JP 2003-139347, mailed February 22, 2007.

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EXAMINER /Parul Gupta/	DATE CONSIDERED 08/06/2008
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	